

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addiese: COMMISSIONER FOR PATENTS PO Box 1450 Alexandra, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/558,352	11/20/2006	Stephan H. Hussman	20294/0203630-US0	6488
7278 17590 1742908 DARBY & DARBY P.C. P.O. BOX 770 Church Street Station New York, NY 10008-0770			EXAMINER	
			AMRANY, ADI	
			ART UNIT	PAPER NUMBER
1.00 1.010, 1.1	10000 0770		2836	
			MAIL DATE	DELIVERY MODE
			11/14/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Application No. Applicant(s) 10/558,352 HUSSMAN ET AL. Office Action Summary Examiner Art Unit ADI AMRANY 2836 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 23 November 2005. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-51 is/are pending in the application. 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1-51 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. Attachment(s)

1) Notice of References Cited (PTO-892)

Paper No(s)/Mail Date 11/23/05

Notice of Draftsperson's Patent Drawing Review (PTO-948)
 Notice of Draftsperson's Patent Drawing Review (PTO-948)
 Notice of Draftsperson's Patent Drawing Review (PTO-948)

Interview Summary (PTO-413)
 Paper No(s)/Mail Date.

6) Other:

Notice of Informal Patent Application

Application/Control Number: 10/558,352 Page 2

Art Unit: 2836

#### DETAILED ACTION

#### Drawings

1. The drawings are objected to because of the following reasons:

- a. Figures 2 and 5; the shaded portions are too dark and it is difficult to see the components within this area. It is suggested that applicants use a box with a solid or dashed outline to indicate the collection of components.
- Figures 9 and 10 are hand drawn and contain hand written reference numerals and labels.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Application/Control Number: 10/558,352 Page 3

Art Unit: 2836

### Claim Objections

 Claims 1, 28 and 37 are objected to because the entire name of a device should be written the first time an acronym is used. It is requested that applicants expand ICPT to inductively coupled power transfer in the preambles of claims 1, 28 and 37.

- 3. Claims 1-51 are objected to because the same component names must be used throughout the claims. For example, the limitation in claim 1 of "pick-up resonant circuit" is then rewritten as "pick-up," "pick-up circuit" and "resonant circuit" in the claims. The same name must be used consistently throughout the claims to indicate that the same component is being referenced.
- Claims 5 and 7 are objected to because the limitation of "may actuate" does not actually require that the controller be able to accomplish the recited limitations.
   Appropriate correction is required.

#### Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-5, 7-8, 16-20, 27-35, 37-43 and 45-46 are rejected under 35
 U.S.C. 102(b) as being anticipated by Boys (US 5,898,579), an X reference from applicants' International Search Report.

With respect to claim 1, Boys discloses an ICPT (fig 5-6; col. 5-7) comprising:

Art Unit: 2836

a pick-up resonant circuit (fig 5, all components except for 501; fig 6, items 611-614) comprising a capacitive element (502, 612) and an inductive element (505; 611) adapted to receive power from a magnetic field associated with a primary conductive path (501; 601) to supply a load;

a sensor (613) configured to sense a condition of the load; and a controller (510; col. 6, lines 14-18) configured to selectively tune or detune the pick-up in response to the load sensed by the sensor by varying the effective capacitance of the capacitive element of the pick-up circuit to control the transfer of power to the pick-up dependent on the sensed load condition (col. 2, lines 10-19col. 3, lines 19-35; col. 5, lines 49-47; col. 6, lines 24-29).

With respect to claim 2, Boys discloses the controller comprising a reactive element (502; 612) and a switching device (504; 614) configured to allow the reactive element to be selectively electrically connected to the pick-up circuit.

With respect to claim 3, Boys discloses the apparent capacitance of the reactive element is varied to tune or detune the pick-up circuit (col. 6, line 14-18).

With respect to claim 4, Boys disclose the sensor senses the power required by the load (col. 6, lines 18-22).

With respect to claim 5, Boys discloses a phase device (col. 4, line 65 to col. 5, line 5) configured to sense the phase of voltage/current in the resonant circuit; and whereby the controller may actuate the switching device dependent on the sensed phase.

Art Unit: 2836

With respect to claims 7-8 and 18-19, Boys discloses a frequency sensing device (510) configured to sense the frequency of the resonant circuit whereby the controller may actuate the switching device dependent on the sensed frequency.

With respect to claim 16, Boys discloses the inductor comprises the pick-up coil (fig 5-6).

With respect to claim 17, Boys discloses the reactive element is a capacitor (fig 5-6); the phase sensing device senses a voltage in the resonant circuit (col. 4, line 65 to col. 5, line 5); and the controller is operable to switch the switching device in a predetermined time period after a sensed voltage zero crossing (inherent).

With respect to claim 20, it is inherent that any controller action occurs a "predetermined time" after a sensed event.

With respect to claim 27, Boys discloses the variable reactance is the tuning capacitor.

With respect to claim 28, Boys discloses the ICPT, as discussed above in the rejection of claim 1, and further discloses a power supply comprising a resonant converter to provide alternating current to a primary conductive path (fig 6; 601-610; col. 6, lines 5-29).

With respect to claim 29, Boys discloses the primary conductive path comprises one or more turns of electrically conductive material (610).

With respect to claim 31, there is a greater magnetic field at the location of inductor 610 than in the rest of the primary path.

Art Unit: 2836

With respect to claim 32, it is inherent that Boys discloses one or more lumped inductances or one or more distributed inductances, since these limitations comprise <u>all</u> possible configurations for inductances.

With respect to claims 33-34, Boys discloses the primary path and the pick-up comprise amorphous magnetic material (601, 611).

With respect to claim 35, Boys discloses the pick-up is battery free. The battery is part of the primary conductive path.

With respect to claims 37-43 and 45-46, Boys discloses the apparatus necessary to complete the recited methods, as discussed above in the rejections of claims 1-5 and 7-8.

 Claims 1-4, 28 and 37-39 are rejected under 35 U.S.C. 102(b) as being anticipated by Rydval (US 5,892,300).

With respect to claims 1, 28 and 37, Rydval discloses an ICPT (fig 1; col. 3) comprising:

a pick-up resonant circuit (col. 3, lines 27-28) comprising a capacitive element (2, 5-7) and an inductive element (1) adapted to receive power from a magnetic field associated with a primary conductive path (not shown in figures) to supply a load;

a sensor (input to 11; col. 3, lines 37-41) configured to sense a condition of the load; and

a controller (11) configured to selectively tune or de-tune the pick-up in response to the load sensed by the sensor by varying the effective capacitance

Art Unit: 2836

of the capacitive element of the pick-up circuit to control the transfer of power to the pick-up dependent on the sensed load condition (col. 3, lines 42-54).

Rydval further discloses a power supply comprising a resonant converter to provide AC to a primary conductive path of the ICPT (col. 1, lines 28-34).

With respect to claims 2 and 38, Rydval discloses the controller comprising a reactive element (5-7) and a switching device (8-10) configured to allow the reactive element to be selectively electrically connected to the pick-up circuit.

With respect to claims 3 and 39, Boys discloses the apparent capacitance of the reactive element is varied to tune or detune the pick-up circuit (col. 3. lines 42-54).

With respect to claim 4, Boys disclose the sensor senses the power required by the load (col. 3, lines 37-41).

 Claims 1-4 and 37-39 are rejected under 35 U.S.C. 102(b) as being anticipated by Boys (WO 01/18936; "Auckland").

With respect to claims 1 and 37, Rydval discloses an ICPT (fig 2; pages 7-8) comprising:

a pick-up resonant circuit comprising a capacitive element (202) and an inductive element (W) adapted to receive power from a magnetic field associated with a primary conductive path (P) to supply a load;

a sensor (208) configured to sense a condition of the load; and
a controller (207) configured to selectively tune or de-tune the pick-up in
response to the load sensed by the sensor by varying the effective capacitance

Art Unit: 2836

of the capacitive element of the pick-up circuit to control the transfer of power to the pick-up dependent on the sensed load condition (abstract).

With respect to claims 2 and 38, Auckland discloses the controller comprising a reactive element (202) and a switching device (203) configured to allow the reactive element to be selectively electrically connected to the pick-up circuit.

With respect to claims 3 and 39, Boys discloses the apparent capacitance of the reactive element is varied to tune or detune the pick-up circuit (page 7, lines 15-18).

With respect to claim 4, Boys disclose the sensor senses the power required by the load (208).

## Claim Rejections - 35 USC § 103

- The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- Claims 6, 9-12, 21-23 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boys.

With respect to claim 6, Boys discloses the recited limitations, as discussed above in the rejection of claim 17. Boys further discloses that it is well known to design the pick-up circuit with an LC resonant circuit (col. 1, lines 21-24). One skilled in the art would recognize that an inductive element can be added to the inductance already present in the pick-up coil.

Art Unit: 2836

With respect to claims 9-11, Boys discloses the recited limitations, as discussed above in the rejections of claims 20-21.

With respect to claim 12, Boys discloses that the inductance of the winding is parallel to the tuning capacitor. One skilled in the art would readily understand the advantages of placing an inductor in series/parallel with the capacitor by calculating the resultant filter.

With respect to claim 21, it would be obvious to one skilled in the art that comparing the switching time relative to degrees of the sensed voltage phase is dependent on the frequency of the voltage. One skilled in the art would readily understand that increasing/decreasing the frequency of the voltage would allow the switching device to be activated during the time period of between 0 and 90 electrical degrees.

With respect to claims 22-23, it is well known in the art that capacitors placed in parallel can be added to create one equivalent capacitor.

With respect to claim 30, Boys discloses the ICPT is for vehicles. It would be obvious that the conductive path is beneath a substantially planar surface in order to allow the vehicle to travel

With respect to claim 36, it would be obvious to one skilled in the art that it would be more efficient to replace a large capacitor with a super capacitor. The charge/discharge properties of super capacitors are well known, as is the fact that they take up less room for the same amount of capacitance.

Art Unit: 2836

 Claims 13-15 and 24-25 is rejected under 35 U.S.C. 103(a) as being unpatentable over Boys in view of applicants' admitted prior art ("APA").

With respect to claims 13 and 24, Boys discloses a single switch. It is inherent that all capacitors have two terminals. APA discloses that the switching device can comprise one or two switches (page 8, lines 14-21). Boys and APA are analogous because they are from the same field of endeavor, namely ICPTs. At the time of the invention by applicants, it would have been obvious to replace two switches with one in order to reduce the number of parts in the circuit.

With respect to claims 14-15 and 25, Boys discloses semiconductor switches with anti-parallel diode connections (fig 7). At the time of the invention by applicants, it would have been obvious to apply these switches to the single switch of figure 6 (614), since it has been held that the rearranging of parts of an invention involves only routine skill in the art. *In re Japikse*, 86 USPQ 70 (CCPA 1950).

 Claims 24 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boys in view of applicants' admitted prior art ("APA").

Rydval discloses a single switch. It is inherent that all capacitors have two terminals. APA discloses that the switching device can comprise one or two switches (page 8, lines 14-21). Rydval and APA are analogous because they are from the same field of endeavor, namely ICPTs. At the time of the invention by applicants, it would have been obvious to replace two switches with one in order to reduce the number of parts in the circuit.

Application/Control Number: 10/558,352 Page 11

Art Unit: 2836

Rydval further discloses the switch can be a transistor (col. 3, lines 31-32). It would have been obvious to one skilled in the art to select at least one of IGBTs, MOSFETs, and BJTs, since these are the most common types of transistors, and they are art recognized equivalents for their ability to complete a circuit connection based on a control signal.

#### Conclusion

 The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ADI AMRANY whose telephone number is (571)272-0415. The examiner can normally be reached on Mon-Thurs, from 10am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Sherry can be reached on (571) 272-2800 x36. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2836

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AA

/Stephen W Jackson/ Primary Examiner, Art Unit 2836